UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD SAN FRANCISCO DIVISION OF JUDGES

UNITED STATES POSTAL SERVICE

And	Cases 28-CA-068385
	28-CA-075708
NATIONAL ASSOCIATION OF LETTER	28-CA-077161
CARRIERS, SUNSHINE BRANCH 504,	28-CA-077164
affiliated with NATIONAL ASSOCIATION	28-CA-078376
OF LETTER CARRIERS AFL-CIO	

David Garza, Esq., Albuquerque, New Mexico, for the General Counsel.

David Pratt, President, NALC Branch 504, Albuquerque, New Mexico, for the Charging Party.

Roderick D. Eves, Esq. St. Louis, Missouri, for the Respondent.

DECISION

STATEMENT OF THE CASE

JOHN J. MCCARRICK, Administrative Law Judge: This case was tried in Albuquerque, New Mexico on June 18 and 19, 2013, upon the Third Consolidated Complaint¹ (complaint) in cases 28-CA-068385 et al. issued on April 3, 2013 by the Regional Director for Region 28,

The complaint alleges that the United States Postal Service (Respondent) violated Sections 8(a)(1), (3), (4) and (5) of the Act.

It is alleged Respondent violated Section 8(a)(1) and (4) of the Act by threatening employees because they filed charges with the Board. It is alleged Respondent violated Section 8(a)(1) of the Act by denying employees representation by the Union during an investigatory interview, by threatening employees it would be futile to request a Union representative at an

¹ On May 16, 2013, the Regional Director for Region 28 issued an Order Severing Cases and Dismissing Complaint Allegations. GC Exh. 1(vv). In that order, as a result of a Stipulation for Entry of Consent Order and a Consent Order in cases 28-CA-023200 28-CA063556, 28-CA-064310 and 28-CA-075375, those cases were severed from this case. Further paragraphs 1(a) through 1(d), 1(g), 6(a) and 8(a) through 8(g) of the instant complaint were dismissed.

investigatory interview, by threatening employees with discipline for failing to obey instructions because they invoked their *Weingarten* rights, ² and by threatening employees by refusing to inform them of the nature of an investigatory interview.

The complaint alleges Respondent violated Section 8(a)(3) of the Act by cancelling employee John Trujillo's leave.

It is also alleged Respondent violated Section 8(a)(5) of the Act, at its Airport and North Valley stations, by changing its practice of providing unit employees a representative of their choosing during an investigatory interview that they reasonably believed might result in discipline without giving notice to the Union or bargaining about this change.

Respondent filed a timely answer to the complaint stating it had committed no wrongdoing.

15

10

FINDINGS OF FACT

Upon the entire record herein, including the briefs from the Counsel for the Acting General Counsel (General Counsel) and Respondent, I make the following findings of fact.

20

25

I. Jurisdiction

In its answer Respondent admitted it provides postal services for the United States of America, and in the performance of that function, has operated various facilities throughout the United States, including facilities located in Albuquerque, New Mexico.

Based upon the above, the Board has jurisdiction over Respondent under Section 1209 of the Postal Reform Act (PRA).

30

II. Labor Organization

Respondent admitted and I find that the National Association of Letter Carriers, Sunshine Branch 504 affiliated with the National Association of Letter Carriers, AFL-CIO is a labor organization within the meaning of Section 2(5) of the Act.

35

40

III. The Alleged Unfair Labor Practices

This case involves the United States Postal Service (Respondent) in Albuquerque, New Mexico. The National Association of Letter Carriers, Branch 504, (Union) is the exclusive collective bargaining representative of city letter carriers who deliver mail at 12 of Respondent's stations in Albuquerque. It is undisputed that Respondent and the Union have had a long

² NLRB v. J. Weingarten, Inc., 420 U.S. 251 (1975).

collective- bargaining history embodied in several collective bargaining agreements the most recent of which is effective 2006-2011.³

At all times relevant herein, Humberto Trujillo was Respondent's postmaster in Albuquerque. Respondent's customer service representatives, including Marla Lacy, reported to Trujillo. Station managers are responsible for the operations of each of the 12 postal stations in Albuquerque. A morning and evening supervisor directly supervise the letter carriers and report to the station manager.

Postal supervisors and station managers are called upon to conduct fact finding interviews with bargaining unit letter carriers. There is no dispute that these fact finding interviews may result in employee discipline.

A. The 8(a)5) allegations

15

20

10

5

Complaint paragraphs 8(h)-(k) allege that since on or about March 20 and April 5, 2012 at Respondent's Airport and North Valley stations respectively, Respondent changed its practice of providing unit employees a Union representative of their choosing during an investigatory interview which they believed might result in discipline. It is alleged that this change relates to a mandatory subject of bargaining and was made without notice to or an opportunity for the Union to bargain with Respondent in violation of section 8(a)(5) of the Act.

1. The facts

25

30

35

40

a. Past practice

The record clearly establishes that for many years before March 2012 Respondent permitted a practice of allowing letter carriers to choose who would represent them in fact finding interviews. Before March 2012, Union president David Pratt (Pratt), Union vice president Angel Martinez (Martinez), formal step A designee John Trujillo (J. Trujillo), and chief shop steward Robert Woodley (Woodley) each regularly represented employees in fact finding investigations at stations other than the stations where the Union representatives worked.

This testimony was corroborated by both employee testimony and documents memorializing the fact finding investigations. General Counsel's exhibits 6-18, 27 and 34-36 reflect that employees were represented in fact finding meetings by union representatives of their choosing who did not work in the office where the meeting was being held. Respondent proffered 127 fact-finding investigation documents,⁴ which purport to show that employees were represented by a Union steward or officer from the same facility. Respondent offered this evidence to show there was no past practice by Respondent of granting employees a choice of representative at fact finding meetings from outside their station.

³ GC Exh. 39. At the hearing General Counsel moved for the admission of this exhibit. It is a complete copy Respondent's exhibit 10, a portion of the April 2009 Joint Contract Administration Manual. Sufficient copies of General Counsel's exhibit were not available before the hearing closed, and I gave General Counsel an opportunity to provide sufficient copies post hearing. There being no objection, General Counsel's exhibit 39 is received into evidence.

⁴ Respondent' Exh. 8.

However, prior to the hearing herein, General Counsel served subpoenas on Respondent seeking documents that would show fact finding interviews were conducted by Union representatives at stations other than their assigned station. The requests included specific requests for fact finding documents involving Union president Pratt, vice president Martinez, formal step A designee J. Trujillo, and chief shop steward Woodley. At the hearing General Counsel questioned Respondent's failure to produce more documents concerning fact finding meetings. Respondent contended that the documents reflecting fact finding meetings were destroyed if no discipline resulted from the fact finding. However, Respondent admitted that if an employee was issued subsequent discipline, the previous fact finding documents would be kept in the employee's file for no longer than 2 years.

Later in the hearing it became apparent that Respondent did not make a diligent effort to locate responsive fact finding meeting documents as requested in the subpoena. Respondent's labor relations representative Ed Arvizo admitted that he had searched through only a quarter to a third of the boxes of documents that could contain copies of the fact finding sheets requested by the subpoena. Arvizo further admitted that neither he nor any other representative of Respondent searched any employee files located at the employee's work station which might contain fact finding meeting documents.

20

25

5

10

15

Several options are available where a party refuses to comply with a subpoena. An adverse inference may be drawn against a party that introduces incomplete or altered evidence, especially in response to a subpoena. *ADF*, *Inc.*, 355 NLRB No. 14, slip op. At 6 (2010); *Precipitator Svcs. Group, Inc.*, 349 NLRB 797, 800 (2007). Given Respondent's failure to diligently search its files to determine if there were additional documents which would show that fact finding investigations were conducted by Union representatives at stations other than their assigned station, I will draw the adverse inference that had the files been fully searched documents would have been produced showing that the practice of fact finding investigations were conducted by Union representatives at stations other than their assigned station.

30

35

40

City carrier Joseph Valverde (Valverde) was represented by Pratt, Martinez, and J. Trujillo in fact finding meetings at his North Valley Carrier Annex station prior to March 2012. Pratt represented city carrier and North Valley Carrier Annex Steward Christopher Montoya (Montoya) 4 times in the past 3 to 5 years in fact finding investigations. Montoya had seen Martinez represent employees in fact finding investigations at his station 4 to 5 times in the last 3 to 5 years after seeing documentation with Martinez' name in station employee grievance and request for information files.⁷

Pratt, Martinez, Trujillo, and Woodley have been represented by a Union officer other than the certified steward at their station.

⁵ Tr. at 360, lines 17-25 to 36, lines 1-3.

⁶ Tr. at 367, lines 20-25 to 368, lines 1-3.

⁷ I find no inconsistency in Montoya's testimony when compared with his affidavit as he stated on both occasions that he had overheard conversations about Martinez' representing other employees at the North Valley station.

Respondent's supervisor Richard Guzman (Guzman) participated in about 30 fact-finding investigations in 13 years as a supervisor or manager in Albuquerque. In those 30 investigations, no employee objected to the steward provided and only one employee requested a representative from another station.

5

10

15

Respondent's supervisor Rommel Gomez (Gomez) was involved in 3 or 4 fact-finding investigations as a supervisor in 15 years in Albuquerque and no employee asked for representative from another station. Respondent's supervisor Platero-Dryer took part in 5 fact-finding investigations as a supervisor in Albuquerque in the past 6 years. No employee asked for a representative from another station. Respondent's supervisor Jacqueline Woods recalled 25 times when she conducted a fact-finding investigation herself, and another 5 times when a supervisor who reported to her conducted a fact-finding investigation in the last 15 to 16 years. Of those prior to March 21, 2012, Ms. Woods recalled 2 or 3 times when an employee asked for a representative from another station because the steward was not in the facility. Respondent's supervisor Archuleta claimed to be involved in hundreds of fact-finding investigations as a supervisor or manager in Albuquerque in the last 5 years. Of those, she could recall only 2 or 3 employees who asked for a representative from another facility in the last 6 months.

The testimony of Guzman, Gomez, Platero-Dryer, Archuleta and Woods is of little probative value since their total number of investigations is miniscule in relation to the total number of investigations conducted during the time they were supervisors. It will be given little credit.

Contrary to Respondent's argument in its brief, supervisor Rose Griego (Griego testified that she has not conducted a fact finding investigation before March 20, 2012. All she could recall was investigations her supervisors had conducted, and she was unable to recall that the employee asked for a representative from another station when the steward that was assigned to her station was not available. Her testimony is of dubious value since she did not participate in these investigations and is not in a position to know if an employee asked for another representative.

Respondent's labor relations representative Ed Arvizo (Arvizo), a manager and supervisor for Respondent for at least 16 years, recalled 200 to 300 fact-finding investigations as a manager and a seeing perhaps a thousand fact-finding investigation reports while working in labor relations. He claimed that an employee asked for a representative from another facility 10 to 15 times. Like Griego, Arviso's testimony is of limited value since he did not participate in the "thousand" investigations as a labor relations representative. Further, it is unclear that he participated in the "200 to 300" investigations as a manager. It has not been established he is competent to know if the employees in the 1200-1300 investigations requested a representative from another station.

40

45

35

Respondent contends that the testimony of Union Representatives Woodley, Trujillo, Martinez and Pratt fail to establish a past practice of representing employees in fact finding investigations because they may have referred to themselves as step A designees when they represented employees in fact finding meetings. Respondent's argument follows that since step A grievance proceedings take place only after a grievance has been filed, Woodley, Trujillo, Martinez and Pratt could not have taken part in fact finding meetings since they occur before the filing of a grievance.

This argument holds no water. Whether they described themselves as union officers, stewards, or step-A designees, the record is clear that they acted as representatives of employees at fact finding investigations at locations other than their own work stations on a routine basis for an extended period of time.

5

10

15

20

25

30

35

40

45

b. The change

Beginning in mid March 2012, shortly after new postmaster Humberto Trujillo arrived in Albuquerque in February 2012, Respondent no longer allowed employees to choose their representative for fact finding investigations conducted at their assigned stations.

Airport Station Supervisor Peter Baldwin (Baldwin) admitted that in the past, employees had always been allowed to choose their Union representative for fact finding interviews. Baldwin's manager, Rose Griego, told him that the new rule that employees could not have Union representatives from other stations represent them in fact finding investigations, came from the Albuquerque postmaster's office. North Valley Station Manager Guzman said that he received this new policy from manager of customer service operations Marla Lacy. In March 2012, main office carrier annex (MOCA) manager Al Baca and MOCA supervisor Rick Oyer told the union formal step-A designee Trujillo that the Albuquerque postmaster had issued orders that employees at the MOCA station could not have representatives from other stations represent them for fact finding meetings.

c. Examples of the rule change

i. The March 20, 2012 fact finding investigation of Angel Martinez

Martinez is a letter carrier who has worked at Respondent's Airport station in Albuquerque, New Mexico since 2003. Martinez has been Union vice president for the past 3 years and a Union formal step A designee since 2004.

At the Airport Station on March 20, 2012, Martinez was told by his supervisor Baldwin that he was to attend a fact finding investigation. Martinez told Baldwin that he was invoking his *Weingarten* rights and wanted Union formal A representative Trujillo, who worked at the main post office (MOCA) in downtown Albuquerque, to represent him at the fact finding meeting. Martinez told Baldwin that Trujillo was available and on the clock. Baldwin walked away.

Baldwin returned about 5 minutes later and Martinez asked him if he had gotten a hold of Trujillo. Baldwin told Martinez Respondent was trying to get a hold of Trujillo and Baldwin told Martinez to wait and left again. Martinez then spoke to Trujillo by cell phone and Martinez told Trujillo that he had requested him as representative for a fact finding meeting. Trujillo was at the MOCA Station, about 3 miles or 10 minutes away from the Airport Station.

When Baldwin returned a third time 2 to 3 minutes later, Martinez told him that no one had tried to contact Trujillo and repeated that he was invoking his *Weingarten* rights and wanted J. Trujillo to represent him in the fact finding meeting. Martinez told Baldwin that J. Trujillo was available. Baldwin told Martinez to stop invoking his *Weingarten* rights. Baldwin said it

was futile for Martinez to say that he was violating his rights. Baldwin told Martinez that Airport station steward Mike Gill would be representing him.

Baldwin conducted a fact finding investigation with Martinez about 45 minutes later. Steward Mike Gill was present at the meeting.

5

15

20

25

30

35

40

45

ii. The March 21, 2012 fact finding investigation of Rudy Segarra

Since 1996 Rudy Segarra has been employed as a letter carrier at Respondent's Highland Station in Albuquerque, New Mexico.

On March 21, 2012, Segarra's supervisor Minga Platero-Dreyer told Segarra that he had to attend a fact finding meeting. Segarra had attended a previous fact finding meeting on March 19, 2012, regarding an incident with his postal vehicle. Union steward Woodley, who also worked at the Highland Station, represented Segarra for this fact finding interview. Segarra told Platero-Dreyer that if the new fact finding meeting was related to the March 19 meeting, he wanted Woodley to represent him again. Platero-Dreyer told Segarra that Highland Station steward Bill Mallison would serve as his representative not Woodley. Segara told Platero-Dreyer that he was invoking his *Weingarten* rights and would not be saying anything else. Platero-Dreyer walked away.

Platero-Dreyer returned with Highland Station manager Jacqueline Woods. Platero-Dreyer repeated that she needed Segarra to go to the fact finding meeting. Manager Woods told Segarra that if he did not cooperate, he would be charged with failure to obey instructions.

Segarra followed Platero-Dreyer to her office where the fact finding investigation was held with Segarra, Platero-Dreyer, and Steward Mallison. Each time Segarra was questioned by Platero-Dreyer, he invoked his *Weingarten* rights and stated he wanted Woodley to represent him. Mallison told Platero-Dreyer she was violating Segarra's *Weingarten* rights by not allowing Woodley to represent him. Platero-Dreyer said the Postmaster told her to provide Mallison and not Woodley for the fact finding meeting.

On March 21, 2012, Mallison told Woodley that Segarra had requested Woodley for a fact finding meeting. Woodley told Platero-Dreyer that she was violating Segarra's *Weingarten* rights. Platero-Dreyer told Woodley she needed to talk to someone and left. When Platero-Dreyer returned a short time later, she told Woodley she had been ordered by the Albuquerque postmaster to have Mallison represent Segarra not Woodley.

iii. The April 5, 2012 fact finding investigation of Joseph Valverde

For the last 5 years, Valverde has been employed as a letter carrier at Respondent's North Valley Carrier Annex in Albuquerque, New Mexico.

On April 5, 2012, 2 fact finding meetings were conducted with Valverde. On the morning of April 5, 2012, Valverde was approached by his supervisor, Rommel Gomez who told Valverde that he needed to conduct a fact finding investigation with him. Valverde told North

⁸ I find nothing inconsistent between Segarra'a affidavit and his testimony at trial.

Valley Carrier Annex Union steward Christopher Montoya, in Gomez' presence, that he wanted Union president Pratt or vice president Martinez to represent him.

Valverde, Montoya, and Gomez spoke with station manager Guzman. Montoya told Guzman that Valverde had requested Union president Pratt or vice president Martinez to represent him at the fact finding meeting. Guzman told Valverde and Montoya that Montoya was the station steward and that he was going to represent Valverde.

Gomez conducted the fact finding meeting with Valverde and Union steward Montoya.

During the meeting, each time Valverde was asked a question by Gomez, he asserted his *Weingarten* rights and said he wanted Martinez or one of the other Union officers to represent him ⁹

Valverde returned to work after the fact finding meeting. About thirty minutes later, Gomez called Valverde back to a second fact finding meeting. Gomez told Valverde there were additional questions manager of customer service operations Marla Lacy had written for him. During this meeting, Valverde received a letter that stated if he did not answer the fact finding questions, he would be held insubordinate.

When Gomez approached Montoya on April 5, 2012 about the fact finding investigation of Valverde, Montoya asked Gomez what the issue in the investigation was. Gomez told him he did not know. No effort was made by Respondent to have Pratt, Martinez, or J. Trujillo present for Valverde's fact finding meeting or to see if any of them were available to attend the fact finding.

25

30

35

40

15

5

Prior to mid March 2012, the Union was never contacted by Respondent about any changes to the practice of granting employees' their choice of representative at a fact finding investigation not was the Union given notice prior to the change in practice being implemented in mid March 2012. In early March 2012, once the Union was aware of the change in the policy of allowing employees to choose their representative in fact finding meetings, Union president Pratt made several verbal requests to postmaster Trujillo to rescind the change and go back to observing the established past practice. The Union stopped making the requests when postmaster Trujillo made it clear that Respondent was not going to comply with the decision of Administrative Law Judge Lana Parke, ¹⁰ finding that employees had the right to choose the representative of their choice in fact-finding investigations.

2. The analysis

There is no dispute that Respondent's fact findings meetings are investigations that can lead to discipline. Accordingly, under *Weingarten* employees are entitled to Union representation during these meetings. As ALJ Lana Parke pointed out in her November 6, 2006 decision in *United States Postal Service JD*(SF)-60-06, involving the same bargaining unit involved herein, "The selection of an employee's representative belongs to the employee and the union, in the absence of extenuating circumstances..." *Barnard College*, 340 NLRB 934, 935

⁹ I find no inconsistencies between Valverde's affidavit and his testimony.

¹⁰ United States Postal Service, JD(SF)-60-06, November 6, 2006.

(2003), citing *Anheuser-Busch, Inc.*, 337 NLRB 3 (2001), enfd. 338 F.3d 267 (4th Cir. 2003) and *Pacific Gas & Electric Co.*, 253 NLRB 1143 (1981).

The first issue that must be resolved herein is whether Respondent made unilateral changes to its employees' mandatory terms and conditions of employment in the selection of Union representatives at fact finding investigations.

The Board has long held that mandatory subjects of bargaining include wages, hours, and other terms and conditions of employment as set forth in Section 8(d) of the Act. *Axelson, Inc.*, 243 NLRB 414, 415 (1978). Likewise disciplinary procedures and any changes to an established system of discipline mandatory subjects of bargaining. *Washoe Medical Center, Inc.*, 337 NLRB 202, 205 (2001). *Service Employees Local 250 (Alta Bates Medical Center)*, 321 NLRB 382, 384 (1996).

In *Barnard College*, 340 NLRB 934, 945 (2003), the ALJ found, and the Board affirmed, that unilateral changes in the parties' past practice with respect to grievance procedures, including meeting with Union representatives, violated section 8(a)(5) of the Act.

Before an employer may make changes to mandatory subjects of bargaining, it must first notify and give the union an opportunity to request bargaining. *NLRB v. Katz*, 369 U.S. 736 (1962); *St. Anthony Hospital Systems*, 319 NLRB 46 (1995). An employer who has made unilateral changes to mandatory terms and conditions of employment without notice or bargaining with the Union has presented the union with a fait accompli which does not constitute timely notice or bargaining. *Penntech Papers v. NLRB*, 706 F. 2d 18, 26 (1st Cir. 1983); *Los Angeles Soap Co.*, 300 NLRB 289 (1990).

With respect to extra contractual terms and conditions of employment that have become a past practice, in *Sunoco, Inc.*, 349 NLRB 240, 244 (2007) the ALJ affirmed by the Board held:

An employer's practices, even if not required by a collective-bargaining agreement, which are regular and long-standing, rather than random or intermittent, become terms and conditions of unit employees' employment, which cannot be altered without offering their collective-bargaining representative notice and an opportunity to bargain over the proposed change. . . . A past practice must occur with such regularity and frequency that employees could reasonably expect the "practice" to continue or reoccur on a regular and consistent basis.

In the instant case it is abundantly clear that long before March 2012, Respondent regularly permitted its employees to choose the Union representative of their choice at fact finding investigative meetings. This was the case whether or not the requested Union representative worked at the employees' station. Having established a regular and long standing practice dealing with disciplinary measures, a mandatory subject of bargaining, employees reasonably expected this practice to continue on a consistent basis. The parties were no longer free to change this practice without notice to and bargaining with each other.

45

40

5

3. Respondent's defenses

a. Deferral

Respondent contends that the issue of whether there has been a violation of section 8(a)(5) of the Act in The alleged change in practice concerning employee choice of representatives in fact finding investigations should be deferred to arbitration.

Respondent cites *Collyer Insulated Wire*, 192 NLRB 837, 842 where the Board stated it will defer to arbitration unfair labor practices involving section 8(a)(5) of the Act if the dispute arose under a long and productive collective bargaining relationship, if there is no evidence of Respondent's enmity to employees' protected rights, if Respondent has agreed to arbitration under a clause that provides for arbitration in a broad range of disputes, broad enough to encompass the dispute before the Board and if the contract and its meaning lie at the center of the dispute.

Since there is no evidence that a grievance has been filed involving this issue, Respondent contends that a request for pre-arbitral deferral may be raised during the unfair labor practice hearing citing *Duchess Furniture*, 220 NLRB 13, (1975) for support. Unfortunately *Duchess Furniture* does not support this proposition. In fact in *Duchess Furniture* the Board reversed the ALJ and refused deferral to arbitration without mentioning the issue of raising arbitration during the unfair labor practice hearing.

However in *United States Postal Service*, 225 NLRB, 220, 220-221 (1976), the Board noted that it would defer to arbitration since the Union agreed to file a grievance and Respondent United States Postal Service indicated its willingness to waive contractual time limitations. See also *Pilot Freight Carriers, Inc.*, 224 NLRB 341, 345 (1976).

It is apparent that the time limits for filing a grievance in this matter have long since passed¹¹. While Respondent in its answer has indicated the matter should be deferred to arbitration, there is no evidence that the Union is willing to file a grievance or that Respondent will waive time limits. Accordingly, deferral is inappropriate.

b. Waiver

35

40

5

10

15

20

25

30

Respondent also argues that the Union has waived its right to bargain over employees' choice of a Union representative in a fact finding investigation by the terms of the parties' collective bargaining agreement, particularly Article 17, Representation, the interpretation of Article 17 in the parties 2009 Joint Contract Administration Manual (JCAM) and the interpretation given Article 17 in the June 27, 1989, Carlton J. Snow arbitration decision.

Article 17 of the parties' collective bargaining agreement provides for representation of employees by Union stewards in the grievance procedure.

¹¹ R. Exh. 7.

Article 17.1¹² provides that: "Stewards may be designated to the purpose of investigating, presenting and adjusting grievances."

Article 17.2¹³ provides that:

5

10

20

25

A. The Union will certify to the Employer in writing a steward or stewards and alternates in accordance with the following general guidelines. Where more than one steward is appointed, one shall be designated chief steward. The selection and appointment of stewards or chief stewards is the sole and exclusive function of the Union. Stewards will be certified to represent employees in specific work location(s) on their tour; provided no more than one steward may be certified to represent employees in a particular work location(s). The number of stewards certified shall not exceed, but may be less than, the number provided by the formula. hereinafter set forth.

Article 17.3¹⁴ provides that: "If an employee requests a steward or Union representative to be present during the course of an interrogation by the Inspection Service, such request will be granted."

There is nothing in Article 17 which suggests that employee choice of a Union representative is in any manner limited in a fact finding investigation. Article 17 refers solely to representation in the grievance procedure.

The 2009 Joint Contract Administration Manual (JCAM)¹⁵ is an administrative manual which provides interpretation for the parties of their 2006-2011 collective bargaining agreement.¹⁶ Page 17-6 of the JCAM discusses employees' *Weingarten* rights and when they are entitled to be represented at an investigatory interview. This portion of the JCAM makes no mention of an employee's right to choose a representative in an investigatory interview or in a fact finding interview. It simply states that an employee is entitled to representation if the employee reasonably believes the interview may result in discipline.

30

35

40

On June 27, 1989, arbitrator Carlton J. Snow issued his arbitration decision¹⁷ in an arbitration case between the American Postal Workers Union and Respondent involving the right of an employee to choose his Union representative in a grievance procedure. Respondent's reliance on this decision for the proposition that the arbitrator's interpretation of the collective bargaining agreement establishes that the Union agreed to limit employee choice of representatives in fact finding investigations is misplaced. This arbitration decision deals with the right to choose a Union representative in grievance arbitration under Articles 15¹⁸ and 17 of the collective bargaining agreement. It never mentions the fact finding investigation which is a pre grievance procedure not covered by the terms of the collective bargaining agreement. Moreover the arbitrator's discussion of Board law involving employee choice of representatives

¹² R. Exh. 9.

¹³ Id.

¹d. 1d.

¹⁵ R. Exh. 10.

¹⁶ GC Exh. 39.

¹⁷ R. Exh. 12.

¹⁸ R. Exh. 7.

in *Weingarten* interviews has been superseded by the Board's decisions in *Barnard College*, 340 NLRB 934, 935 (2003), and *Anheuser-Busch*, *Inc.*, 337 NLRB 3 (2001).

Respondent's acting human resources director Lerene Wiley stated that Article 17 of the parties' collective bargaining agreement applies to fact finding investigations based upon her interpretation of JCAM and the Carlton Snow arbitration decision. This argument is without merit since Article 17, JCAM and the Snow decision do not refer to fact finding investigations. Article 17, JCAM and the Snow decision all involve contractual interpretations of the right to choose representatives in the parties' grievance arbitration procedure and have no bearing on fact finding procedures.

The Board has long held that the waiver of a statutory right will not be inferred from general contract provision. Rather, such waivers must be clear and unmistakable. *Amoco Chemical Co.*, 328 NLRB 1220, 1221-22 (1999). In the instant case it is clear that neither the language of the parties' collective bargaining agreement nor the JCAM nor the arbitrator's decision establish that the Union has clearly and unmistakably waived employees' rights to choose their representative at a fact finding meeting.

As to Respondent's request to reconsider my ruling at hearing rejecting Respondent's Exhibit 11, a settlement of a step 4 grievance between the Postal Service and the APWU, this settlement involves a grievance not a fact finding investigation and involves an interpretation of Article 17 of the collective bargaining agreement relating to representation in the grievance procedure. It is not relevant to the issues herein.

By changing the established practice of allowing city letter carriers to choose a representative of choice at fact finding investigations without notice to or bargaining with the Union, Respondent violated section 8(a)(1) and (5) of the Act.

B. The 8(a)(1)(3) and (4) allegations

30

40

45

5

10

15

20

25

Complaint paragraph 6(b) alleges that on about November 3, 2012, Respondent threatened its employee with unspecified reprisals because they said they would file a charge with the Board in violation of section 8(a)(1) and (4) of the Act.

Complaint paragraphs 7(a) and (b) allege that on about March 1, 2012, Respondent informed employee John Trujillo that his scheduled leave was cancelled because he engaged in Union activities in violation of section 8(a)(1) and (3) of the Act.

While the complaint was not amended, both in his opening statement at the hearing and in his brief Counsel for the General Counsel alleges that the revocation of Trujillo's approved leave violated not only section 8(a)(3) of the Act, discussed below but also section 8(a)(4) of the Act. At the outset of the hearing Respondent was apprised that General Counsel was alleging that the denial of Trujillo's leave violated not only section 8(a)(3) but also 8(a)(4) of the Act. Respondent made no objection to General Counsel's reliance on this additional theory of a violation. The facts upon which the additional section 8(a)(4) violation are based were set forth in the complaint.

An unpled matter may support an unfair labor practice finding if it is closely connected to the subject matter of the complaint and has been fully litigated. *Pergament United Sales*, 296 NLRB 333, 334 (1989). The Board has held that, "The determination of whether a matter has been fully litigated rests in part on whether . . . the Respondent would have altered the conduct of its case at the hearing, had a specific allegation been made." *United States Postal Service*, 352 NLRB 923 (2008).

By stating the additional theory of a violation of section 8(a)(4) of the Act in his opening statement without objection from Respondent, General Counsel put Respondent on notice of this new theory and gave it an opportunity to alter its defense. The factual matter was fully explored by the parties concerning Trujillo's denial of leave. I find that the matter had been fully litigated and will support findings concerning the denial of Trujillo's leave as a violation of section 8(a)(4) of the Act.

1. The facts

5

10

20

30

35

40

45

a. The threat to report Trujillo for filing unfair labor practice charges

John Trujillo has been employed for 20 years by Respondent as letter carrier at its main office carrier annex (MOCA) in downtown Albuquerque, New Mexico. Trujillo has been Union chief shop steward since January 2013 and has been Union formal step A designee for the past 17 years.

Trujillo has filed unfair labor practice charges against Respondent with the National
Labor Relations Board, including several charges with the Board during the 9 months prior to March 2012.

On November 3, 2011, Trujillo called Academy Station manager Archuleta by phone to discuss grievances and outstanding information requests. During the call, Trujillo told Archuleta that Respondent had not provided requested information. Trujillo told Archuleta that if Respondent did not provide the information, Trujillo would be filing an unfair labor practice charge. Archuleta told Trujillo that he had threatened her and that she was going to report him for threatening her.

Archuleta testified that she told Trujillo her supervisor said he was not going to provide Trujillo with requested information. Archuleta admitted she said she would check about the information and get it for Trujillo. Trujillo responded he would file a charge and Archuleta said that Trujillo should do what he needed to do and she would do what she needed to do. Trujillo said if he were her boss he would fire her for not getting the information. She denied saying that Trujillo had made a threat or that she would report him for making a threat.

I found that Trujillo's testimony was made in an open, honest and forthright manner. His testimony had a quality of believability. On the other hand Archuleta's testimony with respect to the alleged threat was given in a hesitant and tentative manner, lacking in credibility as far as this observer is concerned. I will credit Trujillo's version of the facts.

¹⁹ GC Exhs. 19-25.

There is no evidence that Archuleta reported Trujillo or that Trujillo was in any way disciplined.

b. The leave denial

Respondent's employees must complete a PS Form 3971 when requesting leave. On February 23, 2012, Trujillo completed a PS Form 3971²⁰ requesting leave from March 1, 2012 to March 4, 2012. In error, Trujillo dated the form February 24, 2012, when he turned the form into his immediate supervisor Rick Oyer (Oyer) on February 23, 2012. An unidentified supervisor signed and approved the leave request on February 23, 2012. Trujillo's leave request was later signed and approved by Oyer on February 25, 2012.

In early March 2012, J. Trujillo was assigned to the Joint Alternative Route Adjustment Procedure staff (JARAP) working on route adjustment projects with Respondent's management. Since there was still work to be done on the JARAP project, Trujillo decided to work on one of his days of leave. On March 1, 2012, Trujillo worked at the JARAP office on the second floor of Respondent's the main office facility with his management counterpart, supervisor Mel Sanchez.

While working in the JARAP office, Trujillo told Sanchez that since work was light, he was going to take leave on March 2 and 3, 2012. Sanchez asked Trujillo if he had an approved leave slip and Trujillo said he did. Sanchez asked Trujillo for a copy of his leave slip. Trujillo told Sanchez that he was invoking his *Weingarten* rights and that he wanted Union president Pratt to represent him. Sanchez told Trujillo that this was not going to lead to discipline. Trujillo told him to put it in writing which Sanchez did. Then Trujillo showed Sanchez the leave slip that had been approved. When Sanchez told Trujillo to give him the leave slip, Trujillo told Sanchez he would show it to him but not give it to him. At that time, Sanchez left the JARAP room.

Manager Lacy came into the room a short time later and asked Trujillo if he had scheduled leave for Friday and Saturday (March 3, and 4, 2012). Trujillo told her that he did. Trujillo told Lacy that he was invoking his *Weingarten* rights and wanted Pratt to represent him. Lacy said, "Are you kidding?" to which Trujillo replied, "No, I am not". Lacy went to her office and called Trujillo's supervisor Over who said he could not find Trujillo's approved leave form. Over in fact later found the approved form. Lacy then said, "Your leave is revoked. Return to your duty station."²¹

Lacy denied revoking Trujillo's leave. Further, Respondent's "Everything Report" for Trujillo reflects at the bottom of page 2 and the top of page 3 a code 55 for both Friday and Saturday under the heading "Base" which reflects that Trujillo was paid vacation pay for those days.

Based upon both Lacy's testimony and the unrebutted documentary evidence it is clear that Trujillo's leave was not revoked. However, having contacted Oyer and finding that there was no approved leave slip for Trujillo, it seems unlikely that Lacy would not have told Trujillo his leave was revoked. That she would have threatened to revoke his leave is particularly likely

14

40

5

10

15

20

25

30

²⁰ GC Exh. 26.

²¹ Tr. at 111, lines 7-10.

²² R. Exh. 4.

in light of Lacy's testimony that there were deadlines that had to be met in the JARAP process in the 2 days that Trujillo had asked for leave. While, I credit Lacy's testimony that she did not cancel Trujillo's leave, I credit Trujillo that she threatened to do so.

5

10

2. The analysis

a. The Archuleta threat

An employer who threatens an employee with a reprisal because he filed or threatens to file charges with the Board violates Section 8(a)(1) of the Act. *Postal Service*, 351 NLRB 265 (2007); *Postal Service*, 350 NLRB 125 (2007). Having found that on November 3, 1011, Respondent's manager Rosarita Archuleta told employee Trujillo told that that she was going to report him for threatening her after he said he would be filing an unfair labor practice charge, Respondent has violated Section 8(a)(1) of the Act.

15

20

25

40

b. The alleged denial of leave to Trujillo

In order to find a violation of section 8(a)(3) of the Act, the General Counsel must establish a discriminate has engaged in protected union activity, that the employer had knowledge of this activity, and that the employer carried out the adverse action because of the protected activity. *Wright Line*, 251 NLRB 1083, 1089 (1980), enfd., 662 F.2d 899 (1st Cir. 1981), cert. denied 455 U.S. 989 (1982).

Similarly, a violation of section 8(a)(4) of the Act is found where an employer discriminates against its employee for filing charges, or for testifying, or for being subpoenaed to testify, at a Board proceeding. *American Garden's Mgmt. Co.*, 338 NLRB 644, 645 (2002); *Grand Rapids Die Casting Corp.*, 279 NLRB 662, 664 (1986).

There is no dispute that Trujillo was an active Union member and officer and that this
was well known to Respondent. There is likewise no dispute that Respondent was aware that
Trujillo had filed numerous charges against it with the Board. Respondent also demonstrated its
animus toward Trujillo's protected activity by threatening him with reprisals for filing charges
and for threatening to cancel his leave. However, there is no evidence that any adverse
employment action was ever taken against Trujillo. The record reflects that he in fact took his
vacation leave and Respondent took no action was taken against him for threatening to file
charges with the Board.

Since there was no discriminatory action taken by Respondent there is no violation of sections 8(a)(3) or (4) of the Act and I will recommend that these allegations be dismissed. The denial of leave has not been alleged as an independent violation of section 8(a)(1) of the Act.

C. The 8(a)(1) allegations

1. Complaint allegations 6(c)-(e) allege that on about March 1, 2012, Respondent denied employee John Trujillo's request for a Union representative during an interview he reasonably believed would result in discipline.

a The facts

As noted above, on March 1, 2012, while working in the JARAP office, Trujillo told Respondent's supervisor Sanchez that since work was light, he was going to take leave on March 2 and 3, 2012. Sanchez asked Trujillo if he had an approved leave slip and Trujillo said he did. Sanchez asked Trujillo for a copy of his leave slip. Trujillo told Sanchez that he was invoking his Weingarten rights and that he wanted Union president Pratt to represent him. Sanchez told Trujillo that this was not going to lead to discipline. Trujillo told him to put it in writing which Sanchez did. Then Trujillo showed Sanchez the leave slip that had been approved. When Sanchez told Trujillo to give him the leave slip, Trujillo told Sanchez he would show it to him but not give it to him. At that time, Sanchez left the JARAP room.

Manager Lacy came into the room a short time later and asked Trujillo if he had 20 scheduled leave for Friday and Saturday (March 3, and 4, 2012). Trujillo told her that he did. Trujillo told Lacy that he was invoking his *Weingarten* rights and wanted Pratt to represent him. Lacy said, "Are you kidding?" to which Trujillo replied, "No, I am not". Lacy went to her office and called Trujillo's supervisor Over who said he could not find Trujillo's approved leave form. Over in fact later found the approved form. Lacy then said "Your leave is revoked. Return to your duty station."23 25

As a result of the date error on the leave form, Trujillo was concerned he was going to be questioned about that discrepancy and that it could involve potential discipline, including termination.

After Trujillo asserted his Weingarten rights, manager Lacy told him that his leave was revoked and that he needed to return to his duty station. No other questions were asked of Trujillo.

b. The analysis

Respondent contends that these facts do not establish a violation of Section 8(a)(1) of the Act since Trujillo did not reasonably believe the inquiry about his approved leave could result in discipline. Moreover, any subjective belief he may have had that the inquiry could result in discipline ended when Mr. Sanchez provide him assurances that his questioning would not lead to discipline. Further, once Trujillo invoked his Weingarten rights, Lacy asked no further questions.

In NLRB v. J. Weingarten, 420 U.S. 251 (1975) the Supreme Court held an employee had the right to union representation at an interview which the employee reasonably feared may result in discipline. An employee's reasonable belief that an interview might result in discipline

16

5

10

15

30

35

40

²³ Tr. at 111, lines 7-10.

is measured by an objective standard that considers all circumstances of the case and not simply the employee's subjective motivation. *Weingarten*, supra 257, fn 5. The right to union representation is triggered when the employee requests representation. *Weingarten*, supra at 257.

When an employee makes a request, the employer must either grant the request, give the employee the option of going forward with the interview unrepresented, discontinue the interview, or reject the request and end the interview. *Washoe Medical Center*, 348 NLRB 361, n. 5 (2006) (quoting *Consolidated Freightways Corp.*, 264 NLRB 541, 542 (1982).

5

10

15

20

25

30

35

40

45

When Respondent's supervisor Sanchez questioned Trujillo about his leave request and leave form, Trujillo had concerns that due to the discrepancies in the dates on the leave form Sanchez' questions could lead to discipline. Given the discrepancies it was reasonable for Trujillo to believe that there could be discipline issued to him for fraud. As a result of this concern, J. Trujillo invoked his *Weingarten* rights to have a Union representative present for any further questioning by Sanchez. However, Sanchez assured Trujillo in writing that his questions would not result in discipline before he continued questioning him. Had the matter gone no further, I believe that no violation of the Act would have occurred since Trujillo apparently accepted Sanchez' assurances, believed no discipline would take place, and showed him the leave form. However, the matter did not end there. Having refused to give Sanchez a copy of the leave form, Sanchez brought his superior, manager Lacy into the inquest. Lacy again took up the questioning asking if Trujillo had approved leave. When Trujillo said he did, he again invoked his *Weingarten* rights and asked for Union representation. From this point on Lacy asked no further questions but said she was revoking Trujillo's leave.

In bringing a new supervisor of greater authority, Lacy, into the interview and asking Trujillo additional questions, Respondent ratcheted up the seriousness of the interview and negated Sanchez' assurances of no discipline. This conduct triggered Respondent's obligation under *Weingarten* to honor Trujillo's earlier request for Union representation, give Trujillo the option of going forward without a representative or stopping the interview. By continuing the questioning without giving Trujillo these options, Respondent violated section 8(a)(1) of the Act.

2. Complaint paragraph 6(f) alleges that on about March 20, 2012, Respondent threatened its employee that it would be futile for them to request a Union representative of their choosing in an investigatory interview.

a. The facts

As previously discussed, on March 20, 2012, Respondent's supervisor Baldwin told Union vice president Martinez that Respondent needed him to stay for a fact finding interview. Martinez invoked his *Weingarten* rights and requested that formal step A designee Trujillo represent him for the meeting. Baldwin denied this request and informed Martinez that Airport Station steward Mike Gill would be representing him. Martinez repeatedly told Baldwin that he was invoking his *Weingarten* rights and wanted Trujillo to represent him. Baldwin shrugged his shoulders and told Martinez to stop invoking his *Weingarten* rights and that it was futile to continue telling him that he was violating Martinez' rights.

Respondent contends that Baldwin was simply expressing the fact that the Union and Respondent disagreed over whether employees could select the union representative of their

choice pursuant to Article 17 of the collective bargaining agreement and was not threatening him

b. The analysis

5

10

An employer that tells employees that their union activities would be futile has long been held to be a violation of Section 8(a)(1) of the Act. *Goya Foods*, 347 NLRB 1118, 1128–1129 (2006), enfd. 525 F.3d 1117 (11th Cir. 2008); *Wellstream Corp.*, 313 NLRB 698, 706 (1994); *Altercare of Wadsworth Center for Rehabilitation and Nursing Care, Inc.*, 355 NLRB 565, 574 (2010). Similarly, an employer may not tell employees that it would be futile for them to seek *Weingarten* rights. *El Paso Health Care System Limited d/b/a Las Palmas Medical Center*, 358 NLRB No. 54, slip op. at 12-13 (2012); *Dish Network Service Corp.*, 339 NLRB 1126, 1128 (2003).

15 Contrary to Respondent's contention, there was nothing objectively in this interchange that suggests Baldwin was expressing disagreement with the Union's interpretation of the collective bargaining agreement. The test of a violation of section 8(a)(1) of the Act is an objective one. A reasonable person would have understood that Baldwin was not engaging Martinez in an esoteric discussion of the terms of the collective bargaining agreement but rather was telling him there was no use in asserting his *Weingarten* rights to select his Union representative. In these circumstances, Baldwin unlawfully threatened Martinez in violation of Section 8(a)(1) of the Act *El Paso Health Care System Limited d/b/aLas Palmas Medical Center*, *Dish Network Service Corp.*, supra.

3. Complaint paragraph 6(g) alleges that on about March 21, 2012, Respondent threatened its employee with discipline for failing to obey instructions because they invoked their *Weingarten* rights.

a. The facts

30

35

45

25

As discussed above, on March 21, 2012, when supervisor Platero-Dreyer told city letter carrier Segarra that he was going to be involved in a fact finding investigation, Segarra invoked his *Weingarten* rights and requested Union steward Woodley to represent him. Platero-Dreyet told Segarra that he would be represented by Union steward Bill Mallison. When Segara told Platero-Dreyer that he was invoking his *Weingarten* rights and would not be saying anything else, Platero-Dreyer walked away and returned with station manager Jackie Woods. Woods told Segarra that if he did not cooperate regarding the fact finding investigation, he would be charged with failure to obey instructions.

Respondent contends that Woods told Segarra only that he needed to "cooperate" with Platero-Dryer's direction to go to a fact-finding investigation not how to answer any questions. As such, there is no evidence that Ms. Woods' primary motive was to punish Mr. Segarra for protected activity and she did not violate Section 8(a)(1) of the Act.

b. The analysis

The Board has found that threats of reprisal to compel an employee to attend an investigative interview without union representation violates 8(a)(1) of the Act. *Good Samaritan*

Nursing Home, 250 NLRB 207 (1980). Here, Woods threatened Segarra with discipline for failing to obey instructions if he did not attend the fact-finding meeting without his representative of choice. Respondent's argument that Woods merely told Segarra to go to the meeting without requiring him to answer questions is without merit. Cooperation strongly suggests answering questions. After all, that is the purpose of a fact-finding investigation. There can be no investigation without questions being answered. Moreover, since Segarra was entitled to his representative of choice, under Weingarten, Respondent's choices were limited to giving Segarra his representative of choice, giving him the option, without threat of discipline, to continue with the interview without his chosen representative or to discontinue the meeting. Having failed to do so and having threatened Segarra with discipline for failure to participate in the investigation, Wood's threat to compel attendance by Segarra at an investigatory meeting without his requested Union representative violates Section 8(a)(1) of the Act.

5

10

15

45

4. Complaint paragraph 6(h) alleges that on about March 21, 2012, Respondent threatened its employee by refusing to inform them of the nature of investigatory interviews that they would reasonably believe could result in discipline.

a. The facts

As discussed at length above, when Segarra was approached by Platero-Dreyer on March 21, 2012 about attending a fact finding meeting, Segarra asked her why there was going to be a fact finding meeting with him. Platero-Dreyer did not respond.

In its brief²⁴ Respondent attempts to show that Segarra admitted knowing that the
25 March 21, 2012, fact-finding investigation was a continuation of the March 19, 2012, fact
finding meeting by quoting from Segarra's affidavit to the Board dated March 22, 2012. While
cross examining Segarra, counsel for Respondent purportedly showed Segarra an affidavit to
help refresh his recollection. However, the affidavit was never received into the record. After
looking at the affidavit on cross examination, Segarra reaffirmed that he did not know the
purpose of the March 21, 2012 fact finding investigation. I will not consider the substance of the
affidavit inappropriately cited in Respondent's brief.

b. The analysis

The Board has held that an employer, upon request, must inform an employee and the employee's union representative of the specific charges that are to be discussed during a *Weingarten* investigatory interview. *United States Postal Service*, 345 NLRB 426, 436 (2005). This is so because *Weingarten* rights encompass the right to a meaningful consultation with the union representative prior to an investigatory interview. *Postal Service*, 303 NLRB 463, n. 4 (1991); *Climax Molybdenum Co.*, 227 NLRB 1189, 1190 (1977). In explaining why an employee must know the nature of the issues in the investigatory interview, the Board *Colgate-Palmolive Co.*, 257 NLRB 130,133 (1981) noted:

Nothing in the rationale of *Weingarten* suggests that, in its endorsement of the role of 'knowledgeable union representative' the Supreme Court meant to put blinders on the union representative by denying him the opportunity of learning the facts by consultation

²⁴ Respondent's post hearing brief pages 9 and 25-26.

with the employee prior to the investigatory-disciplinary interview. Knowledgeably implies the very opposite. The right to representation clearly embraces the right to prior consultation

When Platero-Dryer did not respond or answer Segarra's inquiry about what the March 21, 2012 fact finding meeting was about, Segarra was unable to meaningfully consult with his representative about the charges he faced. This conduct violates Section 8(a)(1) of the Act. *United Postal Service*, *supra*.

Conclusions of Law

10

20

25

30

35

40

45

- 1. The United States Postal Service is now, and at all times herein, has been an employer engaged in commerce within the meaning of Section 2(2), (6), and (7) of the Act.
- 2. National Association of Letter Carriers, Sunshine Branch 504, affiliated with the National Association of Letter Carriers, AFL-CIO is a labor organization within the meaning of Section 2(5) of the Act.
 - 3. By threatening employees with unspecified reprisals because they threatened to file a charge with the National Labor Relations Board, Respondent violated Section 8(a)(1) of the Act.
 - 4. By denying John Trujillo's request for union representation during a discussion that he reasonably believed might result in discipline, Respondent violated Section 8(a)(1) of the Act.
 - 5. By threatening employees that it would be futile to request a Union representative of their choosing to represent them in an investigatory interview. Respondent violated Section 8(a)(1) of the Act.
 - 6. By threatening employees with discipline for failing to obey instructions because they invoked their Weingarten rights, Respondent violated Section 8(a)(1) of the Act.
 - 7. By refusing to inform employees of the nature of an investigatory interview, Respondent violated Section 8(a)(1) of the Act.
 - 8. By changing its past practice at is Airport and North Valley Stations in Albuquerque, New Mexico of providing employees a Union representative of their choosing at fact finding investigatory interviews without giving notice to or bargaining with the Union, Respondent violated Section 8(a)(1) and (5) of the Act.

All other allegations in the complaint are dismissed.

REMEDY

Having found that the Respondent has engaged in certain unfair labor practices, I shall order it to cease and desist therefrom and to take certain affirmative action designed to effectuate the policies of the Act.

The Respondent having unilaterally changed the practice of providing its employees with a representative of their choosing in fact finding investigative interviews without notice to or bargaining with the Union must upon request of the Union rescind such rule change and restore the practice of providing city letter carriers with a Union representative of their own choosing and before changing this practice, notify and bargain with the Union about any decision to make such change and the effects of such change to agreement or a good-faith impasse.

On these findings of fact and conclusions of law and on the entire record, I issue the following recommended.²⁵

ORDER

Respondent, United States Postal Service, Albuquerque, New Mexico, its officers, agents, successors, and assigns, shall:

1. Cease and desist from:

5

30

35

- (a) Threatening employees with unspecified reprisals because they threatened to file a charge with the National Labor Relations Board.
 - (b) Denying John Trujillo's request for union representation during a discussion that he reasonably believed might result in discipline.
- 25 (c) Threatening employees that it would be futile to request a Union representative of their choosing to represent them in an investigatory interview.
 - (d) Threatening employees with discipline for failing to obey instructions because they invoked their Weingarten rights.
 - (e) Refusing to inform employees of the nature of an investigatory interview.
 - (f) Changing its past practice at is Airport and North Valley Stations in Albuquerque, New Mexico of providing employees a Union representative of their choosing at fact finding investigatory interviews without giving notice to or bargaining with the Union.
 - (g) In any like or related manner interfering with, restraining, or coercing employees in the exercise of the rights guaranteed them by Section 7 of the Act.
 - 2. Take the following affirmative action necessary to effectuate the policies of the Act.
 - (a) The Respondent having unilaterally changed the practice of providing its employees with a representative of their choosing in fact finding investigative interviews without

²⁵ In the event no exceptions are filed as provided by Sec 102 46 of the Rules and Regulations of the National Labor Relations Board, the findings, conclusions, and recommended Order herein shall, as provided in Sec. 102 48 of the Rules and Regulations, be adopted by the Board and become its findings, conclusions, and Order, and all objections thereto shall be deemed waived for all purposes

notice to or bargaining with the Union must upon request of the Union rescind such rule change and restore the practice of providing city letter carriers with a Union representative of their own choosing and before changing this practice, notify and bargain with the Union about any decision to make such a change and. the effects of such change until an agreement or a good-faith impasse is reached

(b) Within 14 days after service by the Region, post at its Airport, Highland, North Vallen And Main Office Carrier facilities copies of the attached notice marked "Appendix." Copies of the notice, on forms provided by the Regional Director for Region 28, after being signed by the Respondent's authorized representative, shall be posted by the Respondent and maintained for 60 consecutive days in conspicuous places including all places where notices to employees are customarily posted. In addition to physical posting of paper notices, the notices shall be distributed electronically, such as by email, posting on an intranet or an internet site, and/or other electronic means, if the Respondent customarily communicates with its employees by such means. *Picini Flooring*, 356 NLRB No. 9 (2010). Reasonable steps shall be taken by the Respondent to ensure that the notices are not altered, defaced, or covered by any other material. In the event that, during the pendency of these proceedings, the Respondent has gone out of business or closed the facility involved in these proceedings, the Respondent shall duplicate and mail, at its own expense, a copy of the notice to all current employees and former employees employed by the Respondent at any time since November 7, 2011.

Dated, Washington, D.C. September 11, 2013

25

5

10

15

20

John J. McCarrick Administrative Law Judge

²⁶ If this Order is enforced by a judgment of a United States court of appeals, the words in the notice reading "Posted by Order of the National Labor Relations Board" shall read "Posted Pursuant to a Judgment of the United States Court of Appeals Enforcing an Order of the National Labor Relations Board."

APPENDIX

NOTICE TO EMPLOYEES

Posted by Order of the National Labor Relations Board An Agency of the United States Government

The National Labor Relations Board has found that we violated Federal labor law and has ordered us to post and obey this notice.

FEDERAL LAW GIVES YOU THE RIGHT TO

Form, join, or assist a union Choose representatives to bargain with us on your behalf Act together with other employees for your benefit and protection Choose not to engage in any of these protected activities.

WE WILL NOT threaten you with unspecified reprisals because you stated that you would file a charge with the Board.

WE WILL NOT denying your request for union representation during a discussion that might reasonably result in discipline.

WE WILL NOT threaten you that it would be futile to request a Union representative of your own choosing to represent you in an investigatory interview.

WE WILL NOT threaten you with discipline for failing to obey instructions because you invoked your *Weingarten* rights.

WE WILL NOT threaten you by refusing to inform you of the nature of investigatory interviews that you would reasonably believe could result in discipline.

WE WILL NOT fail to bargain collectively with the Union by changing the practice of providing you with a Union representative of your own choosing to represent you in a fact finding investigatory interview.

WE WILL NOT in any other manner interfere with, restrain, or coerce you in the exercise of the rights guaranteed you by Section 7 of the Act.

WE WILL, upon request, restore the practice of providing you with a Union representative of your own choosing to represent you in a fact finding investigatory interview, and before changing this practice, notify the Union and bargain with the Union about our decision to make such change and the effects of such change until an agreement or a good-faith impasse is reached

		UNITED STATES POSTAL SERVICE	
		(Employer)	
Dated	Ву		
		(Representative)	(Title)

The National Labor Relations Board is an independent Federal agency created in 1935 to enforce the National Labor Relations Act. It conducts secret-ballot elections to determine whether employees want union representation and it investigates and remedies unfair labor practices by employers and unions. To find out more about your rights under the Act and how to file a charge or election petition, you may speak confidentially to any agent with the Board's Regional Office set forth below. You may also obtain information from the Board's website: www.nlrb.gov.

2600 North Central Avenue, Suite 1800, Phoenix, AZ 85004-3099 (602) 640-2160, Hours: 8:15 a.m. to 4:45 p.m.

THIS IS AN OFFICIAL NOTICE AND MUST NOT BE DEFACED BY ANYONE

THIS NOTICE MUST REMAIN POSTED FOR 60 CONSECUTIVE DAYS FROM THE DATE OF POSTING AND MUST NOT BE ALTERED, DEFACED, OR COVERED BY ANY OTHER MATERIAL. ANY QUESTIONS CONCERNING THIS NOTICE OR COMPLIANCE WITH ITS PROVISIONS MAY BE DIRECTED TO THE ABOVE REGIONAL OFFICE'S COMPLIANCE OFFICER, (602) 640-2146.